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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/759,360	01/16/2004	Peter Visconti	MV-6076.1D	9925
27433	7590	10/23/2007	EXAMINER	
FOLEY & LARDNER LLP 321 NORTH CLARK STREET SUITE 2800 CHICAGO, IL 60610-4764			MACNEILL, ELIZABETH	
		ART UNIT		PAPER NUMBER
		3767		
		MAIL DATE	DELIVERY MODE	
		10/23/2007	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No.	Applicant(s)	
	10/759,360	VISCONTI ET AL.	
	Examiner	Art Unit	
	Elizabeth R. MacNeill	3767	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) Responsive to communication(s) filed on 01 May 2007.
- 2a) This action is FINAL. 2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) Claim(s) 10-13 and 16-28 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) Claim(s) _____ is/are allowed.
- 6) Claim(s) 10-13 and 16-28 is/are rejected.
- 7) Claim(s) _____ is/are objected to.
- 8) Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on _____ is/are: a) accepted or b) objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All b) Some * c) None of:
 1. Certified copies of the priority documents have been received.
 2. Certified copies of the priority documents have been received in Application No. _____.
 3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

Art Unit: 3767

DETAILED ACTION

Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 1 May 2007 has been entered.

Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

2. Claims 24 and 25 are rejected under 35 U.S.C. 102(b) as being anticipated by DeVale (US #5,464,391).

Regarding claim 24, DeVale teaches "A cartridge cassette (#30, Col 3 line 39) adapted to mate with a surgical irrigation pump to provide coupling of the cartridge cassette in the surgical irrigation pump to establish working communication with a pumping actuator, the cartridge cassette comprising: a pumping chamber (#64, Col 4, line 26); a flexible diaphragm covering the pumping chamber (#88, Col 5 line 25, Fig 6 for graduated cross-section, showing

the indentation in the membrane near 92); an upstream valve (#28, Col 4 line 24) disposed in the cartridge adapted for fluid communication with a source of fluid and the pumping chamber; and a downstream valve (#34, Col 4 line 24) disposed in the cartridge adapted for fluid communication with an irrigation conduit and the pumping chamber.

Regarding claim 25, the cartridge includes tubing assembly means for interconnecting the cartridge cassette and the source of fluid (Fig 1 #26).

3. Claims 10,13,16,17,18,21-25, 28 are rejected under 35 U.S.C. 102(b) as being anticipated by MINICK (US #5,462,256).

Minick teaches a "cartridge cassette (#10, Col 3 line 15) for use with an surgical irrigation pump having a pumping actuator, the cartridge cassette comprising: a pumping chamber (#22, Col 4, line 63) in fluid communication with a source of fluid and an irrigation conduit defined in part by a diaphragm (#16, Col 4 line 17) which is preloaded diaphragm is preloaded (#16 Col 4 line 17) against a reciprocating pump actuator (73); means for removably connecting the cartridge to the surgical irrigation pump to establish working communication with the pumping actuator (#71 ,Col 4 line 28); an upstream valve (#61, Col 5 line 6) disposed in the cartridge and in fluid communication with the source of fluid and the pumping chamber; and a downstream valve (#62, Col 5 line 8) disposed in the cartridge and in fluid communication with the irrigation conduit and the pumping chamber. The cartridge cassette includes a tab receiving recess (#71,

Art Unit: 3767

Col 4 line 28) and groove (see Fig 1 which shows the outer housing of the cartridge and grooves at the proximal and distal ends which are connected by the housing to the recesses) which connect to a tab (71a). The diaphragm has a graduated cross-sectional thickness dimension such that the thickness dimension increases from a central portion of the diaphragm to radially outward portions of the diaphragm (Col 4 lines 24-35).

Minick teaches "A cartridge cassette (#10, Col 3 line 15) for use with an surgical irrigation pump having a pumping actuator, the cartridge cassette comprising: a pumping chamber (#22, Col 4 line 63) in fluid communication with a source of fluid and an irrigation conduit; a tab-receiving groove (#71, Col 4 line 28) in the cartridge cassette which is adapted to mate with a laterally outwardly projecting tab on the surgical irrigation pump to provide coupling of the cartridge cassette in the surgical irrigation pump to establish working communication with the pumping actuator; an upstream valve (#61, Col 5 line 6) disposed in the cartridge and in fluid communication with the source of fluid and the pumping chamber; and a downstream valve (#62, Col 5 line 8) disposed in the cartridge and in fluid communication with the irrigation conduit and the pumping chamber.

Minick teaches "A cartridge cassette (#10, Col 3 line 15) adapted to mate with a surgical irrigation pump to provide coupling of the cartridge cassette in the surgical irrigation pump to establish working communication with a pumping actuator, the cartridge cassette comprising: a pumping chamber (#22, Col 4 line 63); a flexible diaphragm covering the pumping chamber (#16 Col 4 line 17); an

Art Unit: 3767

upstream valve (#61, Col 5 line 6) disposed in the cartridge adapted for fluid communication with a source of fluid and the pumping chamber; and a downstream valve (#62, Col 5 line 8) disposed in the cartridge adapted for fluid communication with an irrigation conduit and the pumping chamber.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

5. Claims 12,19,20,26 and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Minick and DeVale as applied to claims 10, 17, and 24 above, and further in view of ATKINSON (US #5,647,852) and MEDVICK (US #4,665,943).

Minick and DeVale all teach cartridge cassettes according to the limitations of claims 10,17, and 24. They do not disclose the use of a spring-biased poppet valve in the upstream and downstream ports of the cassette. Instead, they disclose the use of a generic one-way flow valve.

Atkinson (#106, Col 4 line 13) discloses the use of poppet valves in a medical cartridge. Medvick teaches a spring-loaded poppet valve (#12).

It would have been obvious to a person of ordinary skill in the art at the time the invention was made to make the cartridge cassette of Minick or DeVale with the

spring-loaded poppet valves of Atkinson or Medvick for improved flow characteristics over other valve choices.

Response to Arguments

1. Applicant's arguments filed 1 May 2007 have been fully considered but they are not persuasive.
2. Regarding DeVale as applied to claim 24, applicant has argued that valves are not shown. The geometry of the inlet and outlet portions act to restrict fluid flow and control the volume of fluid flowing through the pump chamber. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., a reciprocating pump) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

Applicant has also argued that the diaphragm is not "preloaded." Since the applicant has not given any definition (or description) of a "preloaded" state in their specification, the examiner takes the broadest reasonable interpretation to one of ordinary skill in the art and holds that any diaphragm which is in contact or comes into contact with the reciprocating pump actuator would be preloaded. See Figures 5 and 6. The same argument applies to Natwick, see Figures 17-19, which also show the diaphragm having a varying thickness between the distended portion of 302 and the flat portion (Fig 18). Applicant's definition given on page 2 is met by Fig 8, piston (73), although the

Art Unit: 3767

examiner believes that this definition is too narrow. Additionally, the piston only needs to be touching the diaphragm *very slightly* for it to apply a force.

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Elizabeth R. MacNeill whose telephone number is (571)-272-9970. The examiner can normally be reached on 9:00-5:30 M-F.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kevin Sirmons can be reached on (571) 272-4965. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

ERM



KEVIN C. SIRMONS
SUPERVISORY PATENT EXAMINER

